# Effect of Heat Treatment Process on The Microstructure and Mechanical Properties of The Spray Coating Ni-Cr on CT38 Steel

Anh Tuan Hoang<sup>#</sup>, Thi Thanh Van Tran<sup>+</sup>, Van Bach Nguyen<sup>+</sup>, Duong Nam Nguyen<sup>+,\*</sup>

<sup>#</sup> Ho Chi Minh city University of Transport, Ho Chi Minh city, Vietnam E-mail: anhtuanhoang1980@gmail.com
<sup>+</sup> Vietnam Maritime University, Haiphong, Vietnam

E-mail: \* namnguyenduong1985@gmail.com

*Abstract*— The thermal spray has been commonly used in several applications to replace the plating technology due to high adhesion, low cost, and environmental pollution. The thermal coating is usually used to rehibilitate the small disrepair of the crankshaft but the dimension and mechanical properties of such parts are still maintaned. Commonly, the microstructure and mechanical properties are greatly affected by depth of thermal coating, and thermal coating needs to be conducted the heat treatment to homogenize the microstructure between metals of coating and base metals as well as to improve the adhesion, hardness, and wear intensity. In this work, the effects of heat treatment on the microstructure and mechanical properties of the coating layer based on 80Ni-20Cr onto the surface of CT38 steel were conducted. SEM images and EDS analysis were used to determine the distribution of elements before and after heat treatment. In addition, mechanical properties such as Vicker hardness (HV), wear intensity, and adhesion were practically measured. As a result, after heat treatment by annealing under 1100oC during 8h, HV and adhesion were significantly higher than the case of non-heat treatment; meanwhile, wear intensity was found with 3 times lower compared to the unheated sample. The strong bi-lateral diffusion between Cr from coating layer and C from CT38 steel component to form carbide along with a formation of bond Ni-Fe was thought to be the main cause resulting in the improvement of mechanical properties and was demonstrated in this study.

Keywords-thermal spray; heat treatment; microstructure; mechanical properties.

#### I. INTRODUCTION

Metal-spraying technology was invented by Swiss engineer Max Ulrich Schoop in the early 20th century. The principle of this technology is to use heat (arc, gas, plasma) to molten metal. After that, the liquid metal is inflated with compressed air to disperse into very fine particles (fog), firing on the prepared surface (cleaning, sanding) to create a metallic coating with required thickness, in which the metal particles overlap each layer. Besides the technologies for the surface treatment to improve the capacity of abrasion and deformation resistance, corrosion resistance such as heat treatment [1], chemical/diffusion-heat treatment [2], [3], mechanical-heat treatment [4], [5], and alloying [6], metalspraying technology has become a separate technology field that has been also expressed as a surface-processing technology.

Based on DIN EN657 standard, the thermal spray (TS) process may be classified according to the applied energy source as followed [7]: (i)-melt atomization-based TS (for example, MB-melt bath); (ii)-gaseous/liquid fuels -based TS

such as DG-detonation gun, WF-wire flame, PF-powder flame, HVWF-high velocity wire flame, HVOF-high velocity oxy-fuel; (iii)-expansion of compressed gases without combustion-based TS (i.e. CG-cold gas); (iv)electrical arc/gas discharge-based TS including A-arc, SAshrouded arc, AP-atmospheric plasma, SP-shrouded plasma, VP-vacuum plasma, HPP-high pressure plasma, LSP-liquid stabilized plasma, ICP-inductively coupled plasma; (v)beams of high energy density-based TS such as laser. In the TS technology, the metal is heated molten or highdeformability state after feeding inside/outside the spraying gun to propel onto the unmolten surface with high-velocity to form the new joinder based on the adhesion of metalspraying [8].

Regarding TS technology, it was suggested as a potential and promising method with high efficiency to replace the hard-chromium plating in some applications. TS coatings could be used to overcome the oxidation problem from steam when they altered the surface of mechanical parts without affecting the mechanical properties of the bulk material [9]. For example, tungsten carbide (WC)-based coatings were indicated with higher wear resistance and fatigue property compared to hard-chromium plating in the aircraft manufacturing application [10]. TS coatings based on Cr<sub>3</sub>C<sub>2</sub>/Ni-Cr or WC in the combination of HVOF technique were reported as the best alternative method to plating due to good wear and high corrosion resistance [11], [12]. The HVOF-based spraying technique showed a high tightness of metal, and cermet coatings can thus have a better oxidation resistance, a higher adhesion, and faster deposition rates than other processes [13]. Coatings created by chromium carbide after being added various metallic binders, however, Ni-Cr was considered as the main binder, were widely and commonly utilized in engineering field because of highly chemical stability, good tribological properties, workability in aggressive environments, oxidation resistance [14].

Moreover, Ni-Cr-based coatings were favorite in applications for aeronautic engineering because they could endure under working conditions with very high temperatures up to over 850°C [15]. In another study, the 50Ni-50Cr coatings were found with excellent protection against the oxidation problem from steam under the duration for the test of 1000 h. The above-mentioned excellent protection of the 50Ni-50Cr was thought due to the film formation based on chromium oxide on the coating surface [16]. A good resistance in the hot corrosion of Ni-Cr(80/20) coatings, especially in molten salts with temperature over 900°C, was also indicated by Sigh et al [17]. In addition, Ni-Cr coatings were also used as a metal matrix in the production of composite coatings which were reinforced with carbides [18], [19]. In the case of Ni-Cr coatings, a faster diffusion compared to the bulk alloy as well as a fast Fe diffusion across the Ni-Cr-based coatings was found in the study of [20].

Obviously, the interaction time between metallic particles with the surrounding environment is very short in the spraying process, then the solidification and cooling rapidly reduce their interaction ability, leading to the shallow diffusion process. Moreover, the bonding of the particle to the substrate mainly depends on the degree of physical bonding, which is the appearance of the contact surface on the contact surface. Spray formation is the sequential process of sequentially dispersing sprayed particles onto the substrate, where sprayed particles contact or contact with the surface without filling the space will cause the porous phenomenon in the coating. The physical contact process does not limit the interaction because the molten particles are rapidly thinned and pressed into the substrate under the action of gravity.

Thus, the next heat treatment process should be applied after the TS process aiming to diffuse the metal-spraying to the base metal as well as to increase the bond and link between the base metal and the metal coating in consideration of a chemical bond, not a mechanical bond, however, the heat treatment only received limited attention. In this work, the coating formed after using 80Ni-20Cr powder for TS process onto the C45 steel surface was conducted. Additionally, the coating layer was thoroughly evaluated and analyzed based on microstructure, wear intensity, hardness, and adhesion before and after heat treatment.

# II. MATERIALS AND METHODS

## A. Material

In this study, a cylindrical sample with a diameter of 25 mm and height of 220mm was produced by C45 steel that its chemical composition was given in Table 1. The commercial 80Ni-20Cr power with the chemical composition given in Table 2 was used to carry out the thermal spraying experiments. The 80Ni-20Cr power with carbide size of 5-10  $\mu$ m was used.

 TABLE I

 CHEMICAL COMPOSITION OF THE SUBSTRATE C45 STEEL

Chemica compositio	C	Si	Mn	Р	S	Overall mass of Cr- Mo-Ni
% mass, max	0.42- 0.50	0.40	0.50- 0.80	0.045	0.045	0.63

 TABLE II

 CHEMICAL COMPOSITION OF NI-CR SPRAY POWDER

Chemical composition	Ni	Cr	С
% mass, max	75-68	22-23	< 0,15

## B. Methods

To produce a metal spray, a spraying gun was used. The nozzles of spraying gun were provided with flame gas. Before the TS process, the as-used cylindrical sample was degreased by a lathe to obtain a mean roughness on the surface equal to around  $5\mu$ m. Spray coating technology can be illustrated in the following Fig. 1.

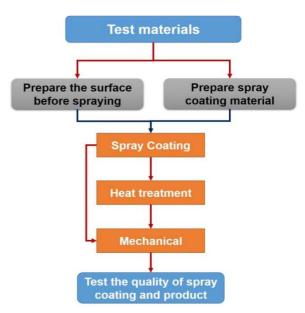


Fig. 1. Diagram of spray coating technology

After the spraying process, the sample was polished and cut into the small cylindrical sample with a height of 10mm for testing purposes. The microstructure was observed by Axiovert 25A, SEM and EDS line were tested by FESEM Jeol-7600 and JSM-5410LV, respectively. The wear intensity values were measured by Tribotech based on ASTM G99 with a load of 30N, the speed of rotation of 100 rpm, the test time of 600s and rotational diameter of 45 mm. The adhesion values were determined by DLR equipment, and the Vicker hardness was tested by Duramin-Struers. The experimental setup for the TS technology conducted in this study is described in Fig. 2.

## C. Experimental setup

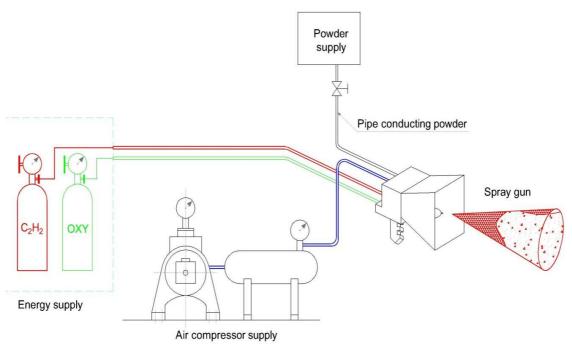


Fig. 2. Experimental setup for thermal-spraying technology based on Ni-Cr powder

From Fig. 2, it can be seen the process of spray coating technology based on flame gas including oxygen, acetylene, and compressed air with a pressure of about 5-6 atm. When the oxygen-acetylene mixture was burnt, compressed air was blown up a drop of liquid metal under fine particles with the very high speed of about 100-150 m/s. A spraying distance from the spraying gun to the sample surface was 250 mm. After spraying, coatings were heat-treated with the aim of reducing the density of foam for the increase in the adhesion of sprayed metal with base metal. Metallic coatings were sprayed with diffusion at 1100°C during 8h before carrying out test related to microstructure and mechanical properties. Wear intensity (I) of Ni-Cr thermal spraying layer on the base metal was calculated based on weight method for the test sample, which was built with the dimension shown in Fig. 3, as the following equation:

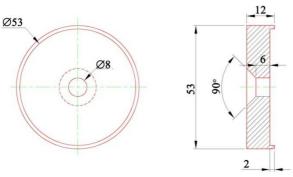


Fig. 3. The detailed diagram of the sample to wear intensity test

$$I = \frac{\Delta M}{Q.S} \tag{1}$$

I- wear intensity, kg/N.m

- $\Delta M$  loss of sample weight after experiment, kg
- Q test load, N
- S a running distance of the test sample, m

To test the adhesion of Ni-Cr layer on the surface of CT38 sample after being conducted thermal spraying, a "pulling the latch" method was used and illustrated in Fig. 4.

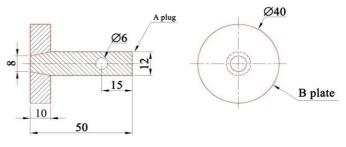


Fig. 4. "Pulling the latch" method for the adhesion test

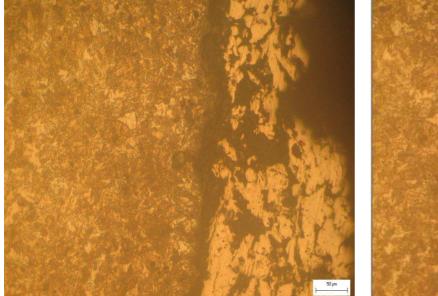
Based on Fig. 4, the latch (A) was pulled from the clamping plate (B) by the pulling force (F). The ratio of the maximum pulling force (F) on the clamping surface (S) was considered as the desired adhesion ( $\sigma_k$ ) which was calculated as followed:

$$\sigma_k = \frac{F}{S} \tag{2}$$

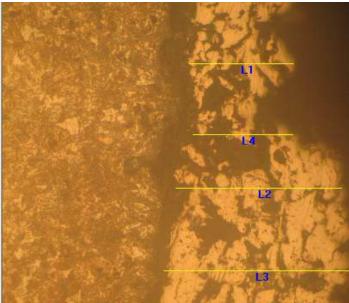
# III. RESULTS AND DISCUSSION

# A. Microstructure

The microstructure and depth of coating based on Ni-Cr



and CT38 before and after heat treatment are shown in Fig. 5 and Fig. 6.



 $\begin{array}{ll} L_1 = 132.25 \ \mu\text{m}; & L_2 = 210.29 \ \mu\text{m} \\ L_3 = 235.23 \ \mu\text{m}; & L_4 = 126.83 \ \mu\text{m} \end{array}$ 



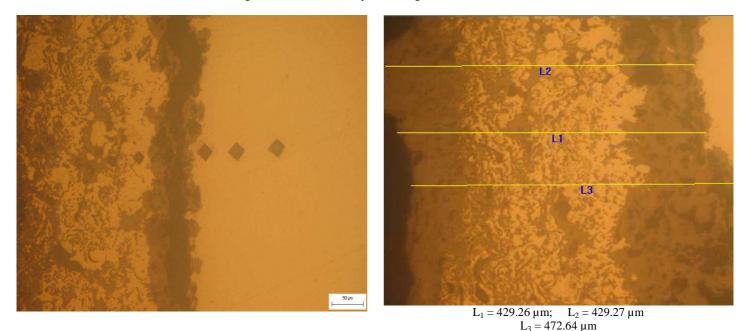


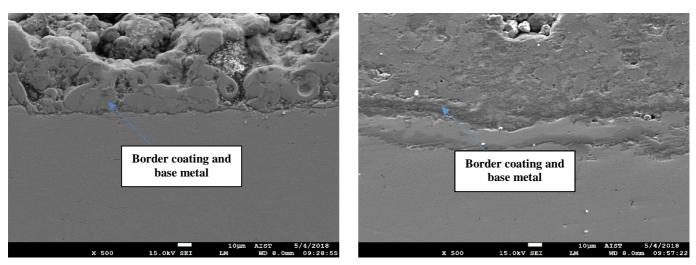
Fig. 6. Microstructure and depth of coating after heat treatment

From Fig. 5, it can be seen that although the spraying process has a high melting temperature, there is no strong association between the base metal and the coating. At the boundary, the microstructure shows that there is only a mechanical adhesion between the coating and the base metal. There is only mechanical adhesion without diffusion between the coating and the base metal so that the hardness value can be high, but the adhesion will be poor. Also, in the microstructure, the presence of porous holes is thought to be caused by the spraying process. The presence of porous holes may reduce the level of bonding of the substrate. However, the coating depth increases after heat treatment,

and it can be clearly seen in Fig. 6. The results of the microstructure analysis show that the average coating depth is around 200 $\mu$ m. On the microscope's image, there is a black layer between the Ni-Cr spray layer and the base metal. This layer is the bonding layer between the coating and the base metal. However, this layer thickness is quite thin, about 10 $\mu$ m. Furthermore, according to the spraying process, this layer is only a mechanic bond between the coating and the base metal. If there is only a mechanical bonding layer when the parts work under mechanical loads, the bonding layer is easily shed, and the coating is no longer effective. Based on the analysis of the microstructure after heat treatment, it

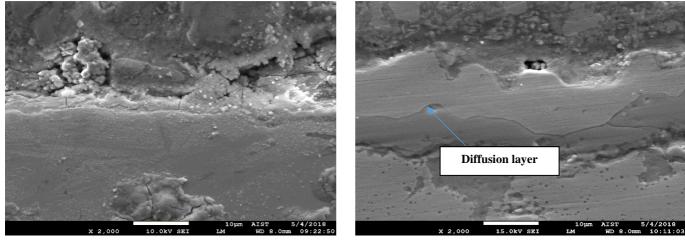
shows the difference between the spray coating depth and the metal background, the coating thickness increases to about 450µm; meanwhile, the bonding layer thickness is about 25µm. This obtained result shows a diffusion creating a chemical bond between the metal of the layer and the metal background. The formation of this chemical bonding layer increases the adhesion of the coating and metal background, and it is clearly demonstrated in the mechanical tests. When performing heat treatment with diffusive annealing, the bonding phase of the spraying metal and metal background is formed, namely the intermetallic phase of Cr (metal coating) and Fe (the metal background). Furthermore, when processed at high temperature, Ni can be dissolved into the austenite structure of Fe to create an alternative solid solution of Ni-Fe. Because Ni has an atomic size that is different from that of Fe, it changes the size of the lattice and makes up the dislocation of the lattice. As a

result, the durability of the coating increases. Also, there is also a diffusion of C from the metal background based on CT38 steel to the bonding layer and diffusion of the Cr from the bonding layer to the metal background (according to the diffusion principle of the element from the high concentration to the low concentration). Those mentioned above the bi-lateral diffusion is thought to form dispersed carbides in the spray coating aiming to increase the coating's resistance to abrasion. This increase in the depth of coating may be explained by the fact that there is a diffusion that creates a bond between the coating layer and the base metal. After the heat treatment process, a chemical bonding layer is formed between the coating and the base metal. However, the optical imaging also indicates the bond between the coating and the base metal. Based on SEM analysis and mapping shown in Fig. 7, Fig. 8 and Fig. 9, the bond between the base and the base metal has been demonstrated.



a) Before heat treatment x500

b) After heat treatment x500



c) Before heat treatment x2000

d) After heat treatment x2000



EDS Layered Image 1

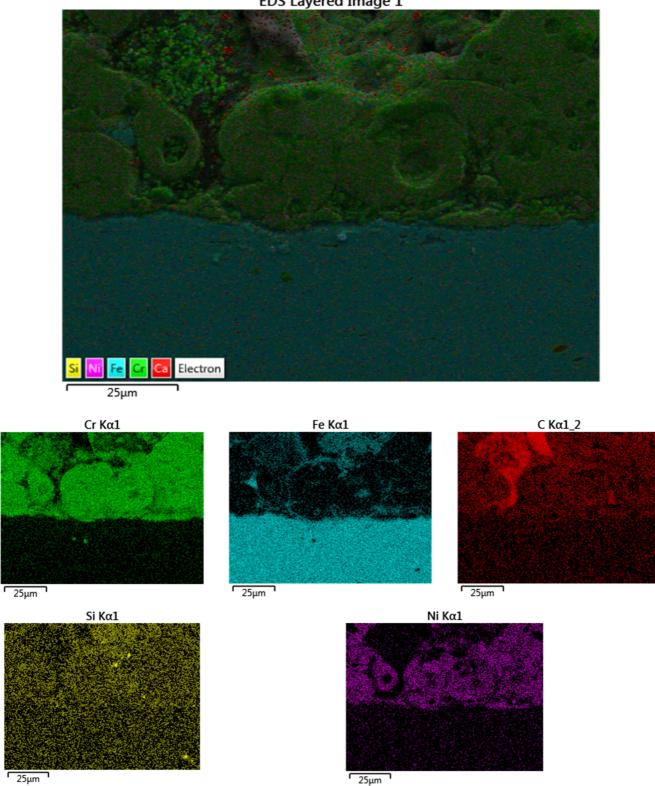


Fig. 8. EDS of the sample before heat treatment

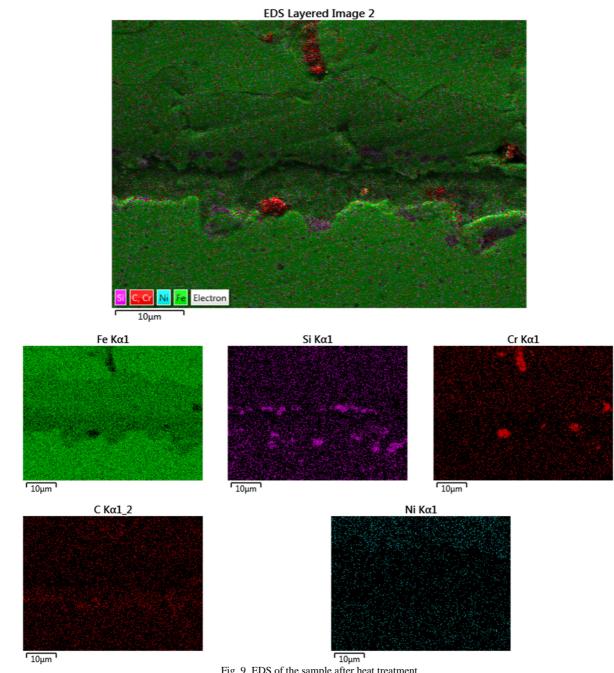


Fig. 9. EDS of the sample after heat treatment

The SEM images presented in Fig. 7a and Fig. 7c before heat treatment show that the samples have a clear separation between the coating and the base metal. This separation results in a peeling effect on the work surface. The SEM analysis shows clearly a bonding layer between the coating and the metal background. Morphological observations also show a clear distribution and formation of a chemical bonding layer after heat treatment. The EDS analysis (Fig. 8) clearly shows the separation between metal background and metal coating. Coating elements all over the coating do not form a diffusion layer between the coating and the base metal. After annealing, the bonding layer in the microstructure (from Fig. 7b and Fig. 7d) is formed between the base metal and the coating. The EDS analysis shows the clarity of this coating layer. The elements are diffused from the coating to the metal. It is this diffusion, which

contributes to the formation of the bonding layer and avoids the peeling during the work of the part. In addition, by mapping analysis, there is diffusion of element Cr from the coating onto the base metal, and carbon from the base metal diffuses to the coating. This creates a bond between the coating and the base metal. These elements can combine to form carbides to increase the wear resistance of the components. The results of the EDS analysis from Fig. 9 show that there are Cr-rich areas, and this is in accordance with the expected results from Fig. 6.

# B. Mechanical properties

1) Hardness: Vicker method (HV) is used to measure the hardness of microstructure of the coating and metal background before and after heat treatment. The results of HV are shown in Fig. 10 and Fig. 11.

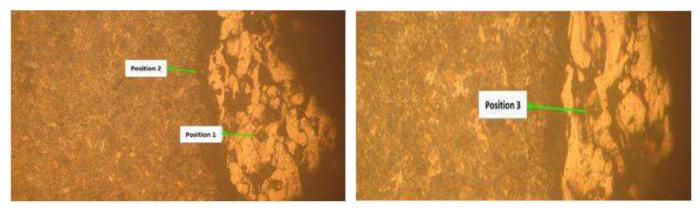


Fig. 10. The hardness value of the sample before heat treatment

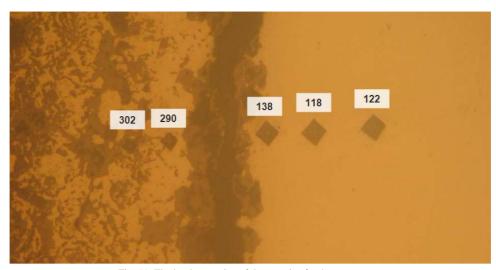


Fig. 11. The hardness value of the sample after heat treatment

From Fig. 10, it can be seen that HV of position 1, position 2, and position 3 is respectively 162, 140 and 191. The hardness values odd the above positions of the coating before heat treatment are low. It is because the alloying phases of Fe with Cr and Fe with Ni as well as the carbides of the bonding layer have not been formed yet. However, after heat treatment, the hardness tends to increase. It is seen from Fig. 11 that after heat treatment, hardness values are more evenly distributed than the case of non-heat treatment. The results of HV analysis show that the HV of the coating after heat treatment (302HV) is higher than that before heat treatment (190HV). The results related to the achieved HVs are consistent with the results of microstructure analysis shown in Fig. 6 and Fig. 9. Based on the results of microstructure analysis, there is an appearance of dispersed carbides within the microstructure of the coating and bonding layer, and a high HV may be the result of diffusion.

2) Wear intensity: The wear intensity of the coating on the metal background after being measured by Eq. (1) is given in Table 3.

TABLE III THE VALUE OF WEAR INTENSITY

Heat treatment	Wear intensity (kg/N.m)		
Before heat treatment	5,87.10 <sup>9</sup>		
After heat treatment	1,89.10 <sup>9</sup>		

It can be seen from Table 3 that wear intensity of the heattreated sample is around three times lower than that of the non-heat treated sample. This is explained due to low adhesion between the coating and the metal background before heat treatment resulting in easy removal of the coating from a metal background. Wear intensity results is appropriate to the achieved results of hardness and adhesion. However, heat treatment has created a diffusion of elements from the coating layer into the metal background; as a result, a strong bond formed by the element mentioned above diffusion improves wear intensity.

3) Adhesion The adhesion of the coating on the metal background after being tested and measured based on Eq. (1) is given in Table 4.

TABLE IV THE RESULT OF ADHESION

Adhesion before heat	Adhesion after heat treatment,	
treatment, MPa	MPa	
26.61	28.98	

Results achieved from Table 4 shows that the sample after heat treatment (28.98 MPa) has higher adhesion than the non-heat treated sample (26.61 MPa). In fact, with the heat treatment method after thermal spraying, a chemical bonding is completely created between the coating and metal background. As a result, the adhesion of the coating layer Ni-Cr onto the surface of CT38 (metal background) increases. This result reflects the microstructure of the asused sample that has been diffused to form a complete coating improving the adhesion. Thus, in terms of the mechanical and microstructure aspects, there is a core correlation between microstructure and mechanical properties of the coating before and after heat treatment. Apparently, the heat provides metallic atoms (Ni, Cr, Fe) and the nonmetallic atom (C) with the sufficient energy to overcome the specific linked-energy, and promotes the diffusion bi-laterally aiming at forming new carbide phase or bonding such as Cr<sub>x</sub>C<sub>y</sub> and Ni-Fe, resulting in a reduction of the residual stress in the microstructure. The results related to hardness, adhesion, and wear intensity of coating (after being treated by heat) are also similar to published works [21]–[23].

## **IV. CONCLUSIONS**

In this study, the microstructure and mechanical properties of the coating based on 80Ni-20Cr onto the surface CT38 steel in case of heat and non-heat treatment was conducted. The results showed that there was a diffusion of Cr from coating layer into the CT38 background as well as a diffusion of C from the CT38 background into the coating layer to form the new carbide phase and chemical bond between Ni-Fe, these results were demonstrated by SEM and EDS mapping. However, the above-mentioned diffusion was only achieved after heat treatment under 1100°C and during 8h. Regarding mechanical properties of the coating after being treated by heat, the hardness was found with an increase by 60%, wear intensity was 3 times lower, and adhesion was 8.9% higher in comparison with the case of non-heat treatment. The results from this study may be used for the application of the crankshaft repair.

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